



Original Article

The evolution of two types of play

Paul E. Smaldino,^{a,*} Elisabetta Palagi,^b Gordon M. Burghardt,^c and Sergio M. Pellis^d

^aDepartment of Cognitive and Information Sciences, University of California, Merced. 5200 N. Lake Rd, Merced, CA 95343, USA, ^bDepartment of Biology, University of Pisa. Lungarno Antonio Pacinotti, 43, 56126, Pisa PI, Italy, ^cDepartments of Psychology and Ecology & Evolutionary Biology, University of Tennessee. Austin Peay Building, Knoxville, TN 37996, USA, and ^dDepartment of Neuroscience, University of Lethbridge, 4401 University Drive, Lethbridge, AB, Canada T1K 3M4

Received 16 February 2019; revised 25 April 2019; editorial decision 1 May 2019; accepted 19 May 2019.

Play is an important and understudied class of phenomena that likely serves a critical role in the ontogeny and maintenance of fitness-enhancing behaviors. Many species exhibit little or no play. Among those animals that do play, some exhibit only very simple forms, while others engage in complex play both solitarily and socially. Likewise, some animals play only as juveniles, while others continue to play as adults. We propose a general framework to explain interspecies variation in the evolution and emergence of simple vs. complex forms of play, supported by both a review of the empirical evidence and a novel mathematical model. The emergence of play requires that initial investment returns benefits that sufficiently compensate the opportunity costs associated with simple play. The subsequent evolution of complex play depends upon the interplay of several life-history factors related to the benefits, costs, and time course of play investment. We conclude with implications for understanding the evolution of play across the animal kingdom.

Key words: development, evolution, model, play, social complexity, tradeoffs.

INTRODUCTION

Many animals, including humans, play. Burghardt (2005) defines play as any behavior that meets all five of the following criteria: 1) it must have elements that do not contribute toward the organism's immediate survival; 2) it must be spontaneous or rewarding to the organism; 3) it must differ from strictly functional expressions of behavior either structurally or temporally; 4) it must be repeated in a similar form during at least a portion of the organism's ontogeny; and 5) it occurs when the organism is in a "relaxed field," meaning that it is healthy, sated, and not under stress from competing systems (e.g., feeding, mating, fear). However, behavior that meets the stringent criteria that enable it to be labeled as *play* is relatively rare in the animal kingdom, and emerges only sporadically in the phylogenetic tree of animals (Burghardt 2005). Such a sporadic distribution suggests that play has evolved independently multiple times and that the conditions enabling it to evolve rarely coalesce.

Animals vary greatly in how much they play. Why? The adaptive benefit of play appears to stem from its characterization as a form of exploratory learning or as training for the unexpected (Spinka et al. 2001). Gopnik et al. (2015) have suggested that play serves as a time for broader search in the space of possible actions and outcomes, drawing an analogy between playful exploration and *simulated annealing*, the computational optimization

algorithm in which random movements in solution space are initially frequent and grow rarer over time as the program classifies the regions leading to larger payoffs. Illustrating this functional role, Cully et al. (2015) studied six-legged robots that spent a "developmental" period engaging in self-handicapping in which they learned to move effectively while restricting certain aspects of their anatomy. They found that robots who engaged in this "playful" behavior were much better able to adapt to real injuries later on. The survival benefits of this sort of simple exploratory play are relatively easy to perceive. Such behaviors could evolve as long as those benefits outweigh the costs—in terms of opportunity costs, predation risks, etc.—which may be substantial. Play involving more complex cognitive processing, particularly social play, may produce benefits that are less immediately realized. A common explanation is that the benefits to social play, such as the rough-and-tumble play observed in many mammals, come from learning specific social skills as juveniles that will be useful during adulthood (Pellis and Pellis 2009; Pellis et al. 2010). Relatedly, Ciani et al. (2012) have proposed that in species where social play persists into adulthood, social play serves to continually reassess social relationships, particularly in social species without rigid dominance hierarchies. However, complex forms of play, including but not limited to social play, are relatively rare compared with simpler play behaviors, even among species with comparable cognitive abilities (Burghardt 2005; Pelletier et al. 2017). Such behaviors incur costs that equal or exceed the costs

Address correspondence to P.E. Smaldino. E-mail: paul.smaldino@gmail.com.

investment, but also by a low ceiling on the maximum possible benefit. In contrast, complex play is characterized by requiring more substantial investment in order to yield benefits to fitness, but also by the potential of greater benefits if the investment can be made. Thus, we propose that interspecies differences in the complexity of play behavior can be explained to a large extent by differences in the cost of investing in those behaviors, as well as by the extent to which complex play requires more investment and yields a higher potential benefit.

In the next section, we formalize and refine this argument with a simple mathematical model. Mathematical and agent-based computer models have begun to identify the conditions that can sustain play and lead to the evolutionary spread of play when it is associated with an advantage (e.g., Dugatkin and Bekoff 2003; Durand and Schank 2015; Grunloh and Mangel 2015; Cenni and Fawcett 2018; Schank et al. 2018). As the empirical data are sparse and diverse, a model that can identify the relevant tradeoffs can be invaluable in directing empirical research. All of the aforementioned models focus on the evolutionary role of one specific type of play and not on the transitions between different play types. Our work builds on this foundation to explore the conditions that enable animals to switch from engaging in simpler to more complex patterns of play. We ask: what are the evolutionary drivers that promote the evolution of more complex play?

MODEL AND ANALYSIS

Consider selection on the amount of resources an organism invests in play. This investment can be represented by the proportion, x , of total available developmental time and energy resources the organism commits to play at the expense of other behaviors, such as foraging, predator detection, or mating once sexually mature. We do not explicitly model any social interaction or life history in this model, only the resources individuals can invest in play behavior. That said, we conceptualize x as the total investment in play during development rather than a discrete, immediately invested resource.

In addition to the investment trait x , individuals also express a play strategy: complex play, simple play, or no play. No play yields no benefits, but also requires no investment. Each of the two positive play strategies yields a benefit that increases monotonically with x . We incorporate the two key properties of play mentioned above into our benefit functions. First, play requires some minimum

investment before yielding substantial fitness benefit, with complex play requiring more investment than simple play. Second, past some (potentially soft) threshold, additional investment in play fails to yield significant marginal benefit. These two constraints are well captured by a sigmoid function (Figure 1A). Specifically, we define the benefit of simple play as

$$B_s(x) = \frac{1}{1 + e^{-\gamma(x-\alpha)}}, \tag{1}$$

where γ is the rate at which the initial benefits of play manifest relative to investment, and α is an offset indicating the investment that corresponds to half the maximum possible benefit. We parameterize the function so that the benefits of simple play begin to increase with very low initial investment. Our analyses indicate that the exact values of these parameters are not particularly important, and so for all of our results, we used $\gamma = 20$ and $\alpha = 0.2$. For the reader interested in further exploration of our model, we provide the Mathematica notebook used to generate all of our figures as a Supplement.

Complex play is characterized by the requirement of greater investment to achieve initial benefits, but also by a larger maximum possible benefit. Specifically, we model the benefit of complex play as

$$B_c(x) = \frac{b}{1 + e^{-\gamma(x-\alpha-\delta)}}, \tag{2}$$

where δ is the additional investment required for benefits to manifest and $b > 1$ is the maximum achievable benefit. In other words, the difference between simple and complex play is fully described by the additional investment required for complex play and by the additional benefit that can be realized through such an investment. Whether simple or complex play evolves is determined by how the benefits from investing in play relate to the costs of that investment.

Investment in play incurs costs. We focus on the opportunity costs of playing that come from the loss of investment into other fitness-enhancing activities, such as foraging, eating, or watching for predators. In addition, there may be energetic costs directly associated with specific play behaviors, which may differ for simple and complex play behaviors; as mentioned, complex play may carry the metabolic costs of larger brains or the physical risks from angering a conspecific. For simplicity we ignore these behavior-specific costs, and model the cost as a monotonic function of investment in play.

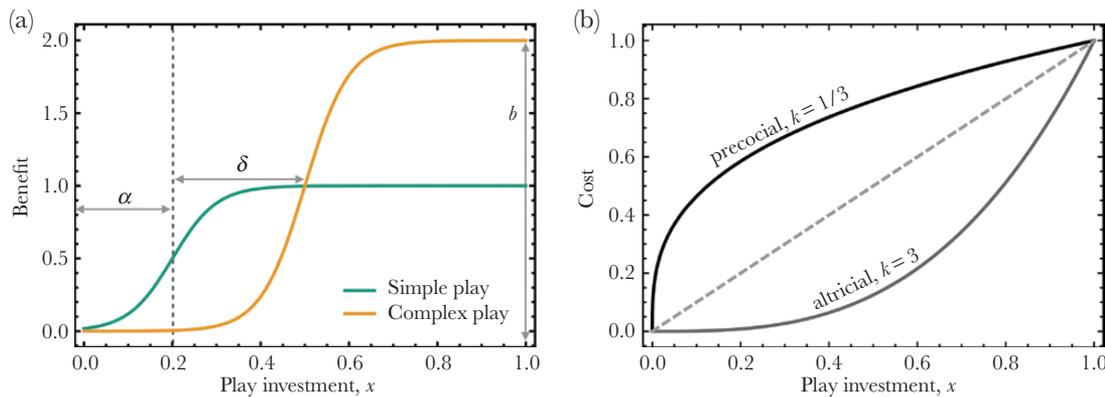


Figure 1 Model assumptions. (A) Relative to simple play, complex play requires additional investment, δ , for benefits to become substantial, but also has maximum benefits that are increased by a factor b . (B) The cost of play increases with investment, but the initial increase can either be slow, as with altricial species, or fast, as with precocial species.

That said, the curvature of this function may be related to the life history of an organism. For example, an altricial species receiving extended parental care may have ample time to invest in play because its other needs are met by its parents, and thus the cost of small investments in play could be low. In contrast, a precocial species might need to devote most of its energy to basic survival needs, so that even a little investment in play could be very costly. To capture this variation, we model the cost of investment in play using a simple power function,

$$C(x) = x^k. \quad (3)$$

In the above example, smaller k represents the more precocial case and larger k represents the more altricial case (Figure 1B). Here we use the words “altricial” and “precocial” rhetorically, with the former term simply indicating those species who can afford more investment or a longer period of vulnerability during development compared with the latter; we do not define a strict cutoff between these two categories. We certainly do not wish to suggest that organisms born precocial never play, as there is ample evidence that many of them do (Burghardt 2005).

The evolution of play then depends on the net benefit,

$$W_i(x) = B_i(x) - C(x), \quad (4)$$

where $i \in \{n, s, c\}$ indicates the type of play or lack thereof: no play, simple play, and complex play, respectively. This net benefit is the fitness contribution to the organism from investing in play. Our analysis relies on adaptive dynamics. We begin by considering a monomorphic population of organisms with investment $x \approx 0$ and play strategy $s = n$; in other words, a species that does not play. We then consider whether a mutant with an incrementally larger investment x' using any play strategy could invade and spread to fixation. This is repeated until an incremental increase in play investment fails to yield a positive marginal benefit, at which point we examine which play strategy is dominant.

This simple model can help us understand what types of play, if any, we should expect to evolve given an organism's life history. If the initial cost of investing in play is greater than the marginal benefit of initial investment, we should expect play to be rare or nonexistent. This will occur whenever $\partial W_i(\varepsilon)/\partial x < 0$, where ε is a vanishingly small positive real number. If the net benefit of initial investment in (simple) play is positive, simple play will evolve. Investment in play will increase until the marginal benefit of further investment vanishes, that is until $\partial W_i/\partial x = 0$. We calculate the rate of change of the net benefit from simple play to be

$$\frac{\partial W_s}{\partial x} = \frac{\gamma e^{-\gamma(x-\alpha)}}{[1 + e^{-\gamma(x-\alpha)}]^2} - kx^{k-1}, \quad (5)$$

If this derivative reaches zero before the net benefit of complex play surpasses the net benefit of simple play, then complex play will not evolve, because the organism will not benefit from any incremental increase in play investment. If, on the other hand, the net benefit of complex play surpasses the net benefit of simple play with investment below the threshold where $\partial W_i/\partial x = 0$, complex play will evolve. The three possible evolutionary trajectories of play discussed here are illustrated in Figure 2. For a wider range of parameter values, equilibrium play strategies were calculated using numerical simulation, the algorithmic details of which can be found in the Mathematica notebook that accompanies this paper as a Supplement.

Our analysis of the model yields three broad conclusions. First, if the marginal cost of initial investment in play is too high, play cannot evolve. Indeed, this may help explain why play is absent in so many animals, especially those without endothermy (which facilitates extended bouts of vigorous activity) and parental care (which buffers juveniles from sustaining costs of foraging, predator vigilance, and thermoregulation). Under our formalization, the evolution of play requires a developmental period in which the initial cost of play investment is outweighed by the initial benefit. For many animals, the initial benefits of play may accrue slowly or rapidly, but there is likely to be at least some delay in their accrual relative to the initial investment. If that initial investment in play corresponds to a negative net benefit, no play will evolve. In reality, this corresponds to the case where investment in play decreases overall fitness, such as by exposing an organism to likely predation, in which case selection should inhibit the evolution of all but the simplest and most immediately beneficial play behaviors. If, on the other hand, the cost of investing in play increases more slowly, various forms of play can evolve.

Second, both simple and complex varieties of play can evolve as long as the costs of play do not increase too rapidly with initial investment. That is, play can evolve if organisms are sufficiently “altricial” (k is sufficiently large). This is illustrated in Figure 3. The evolution of complex play typically relies on the prior evolution of simple play. Whether simple play eventually leads to complex play during the organism's development or evolution depends instead on the characteristics of the complex play behaviors themselves. That said, the evolution of complex play is favored under a wider range of conditions as the slope of the cost function becomes shallower (Figure 3).

Third, the evolution of complex play is favored when the additional investment required for its benefits to manifest is minimized. The more investment required to realize the benefits of complex play, the less likely it will evolve. However, this limitation can be overcome to some degree if the benefits of complex play are large relative to those of simple play (Figures 3 and 4).

DISCUSSION

Our formal model is very simple, and serves primarily to codify a rather straightforward consideration of costs and benefits. Nevertheless, we believe that our analysis helps to clarify and structure a number of empirical findings about the evolution of play, for which until now such structure has been lacking.

A major problem in comparing the relative benefits of simple and complex play is in how to evaluate their relative costs. For example, simple locomotor play may be limited to short, brisk runs, whereas complex locomotor play may include head-shaking and rapid whole-body rotation as well as the runs, yet an animal limited to the simple form may spend as much time engaged in locomotor play as an animal capable of the more complex form. Consequently, from an energetic perspective, it may not be the *prima facie* case that complex play is more costly than simple play. However, where comparative data are available, it does seem to be the case that there are lower relative costs for more simple forms of play compared with more complex forms. In murid rodents, locomotor play may be absent, be limited to brief bursts of running, or involve complex sequences of runs, jumps and rotations, with those engaging in more complex forms performing such play more often (Pellis and Iwaniuk 2004). Similarly, rodents with more complex forms of social play also engage in such play more often (Pellis et al.

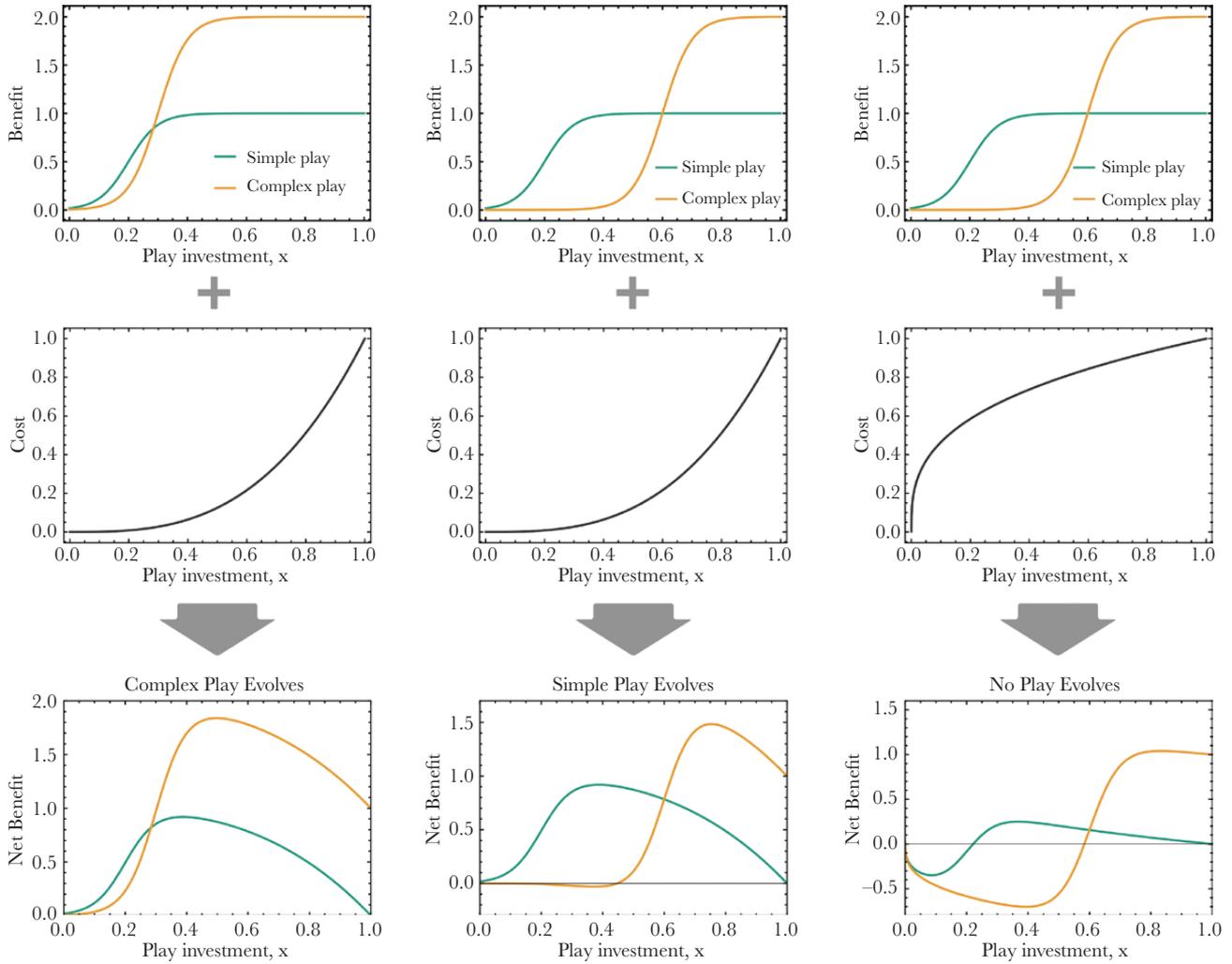


Figure 2 The dynamics of play. Left: If the benefit of complex play manifests with little more investment than simple play and the costs of early investment increase slowly, the net benefit of play will yield the eventual adoption of complex play behavior. Middle: If the benefit of complex play takes more investment to manifest, the marginal benefit of increased investment in play may decrease before complex play is favored, leading to the evolution of simple play only. Right: If the costs of early investment in play increase rapidly, the net benefit of low levels of play can be negative, foreclosing the evolution of any play at all.

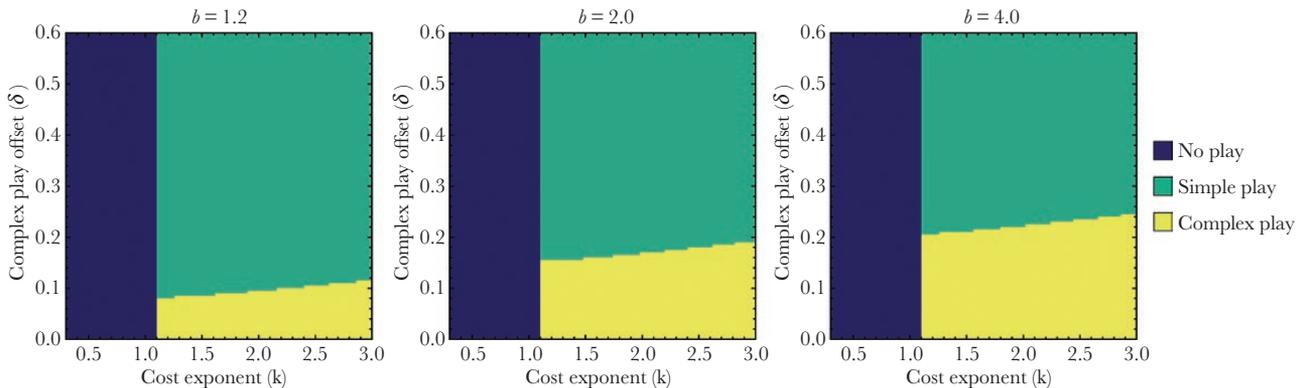


Figure 3 The evolution of 2 types of play. Higher values of the cost exponent, k , indicate costs that increase more slowly with investment (as in more altricial species), while higher values of the complex play offset, δ , indicate a larger investment in complex play needed to manifest its benefit. Dark blue regions represent parameter combinations that prohibit the evolution of play of either type. Parameter combinations that lead to the evolution of simple and complex play are shown in green and yellow, respectively. For these analyses $\gamma = 20$ and $\alpha = 0.2$.

hamsters; Bell et al. 2010; Baarendse et al. 2013; Burlison et al. 2016). If so, future research should observe a threshold effect for engaging in those particular play tactics. That is, we may observe that all rodent species who engage in complex play invest in play behavior *at least* some threshold amount, with little to no complex play in species who are unable to invest in this level of play.

For social play to remain playful, some degree of turn taking is necessary (Cordoni et al. 2016; Palagi, Cordoni, et al. 2016; Pellis and Pellis 2017), and this requires tapping into socio-cognitive skills in a way that is adaptable to changing contexts (e.g., novel partners, novel actions by partners; Pellis and Pellis 2016). In some species, such as rats (Foroud and Pellis 2002), this turn taking has been shown to be exaggerated at the age when the training of these skills is of maximum benefit (i.e., when the underlying neural systems are developing and most plastic; Arakawa 2018). Diminishing the experience of play containing such turn taking, either by blocking playful physical contact altogether or by providing inhibited partners that support fewer opportunities for playful turn taking, alters neural development and impoverishes associated socio-cognitive skills (e.g., Van Kerkhof et al. 2013; Baarendse et al. 2013; Schneider, Bindila, et al. 2016; Schneider, Pätz, et al. 2016; Pellis et al. 2017). If this is a general phenomenon, and it is consistent with the model in showing a steep rise needed in play investment to gain the benefit from complex play, then we predict that only those species that gain the net benefit from complex play in terms of socio-cognitive development should exhibit this increased turn taking in the peak juvenile period, and perhaps even that only these should exhibit the neurophysiological structures associated with those play behaviors.

An alternative way to consider this issue is that the benefits of complex social play are characterized by a net gain in socio-cognitive skills. The conditions favoring such play are likely to be met only in those species for which enhanced socio-cognitive skills as adults has a sufficient payoff to warrant the investment in play earlier in life. A limiting factor in achieving this net gain is therefore the value of this increased capacity in adults. One of the most intensively studied mammalian lineages that would be amenable to a comparative test of the model-derived hypothesis is the primates. For this taxon, more than any other, there are many studies on play (Cordoni et al. 2018; Palagi 2018) and a rich data set on a variety of natural history traits (Campbell et al. 2010).

Primates

In a comparative study of juvenile play across primates, our analysis suggests we should find a steep or stepwise increase in the frequency and/or elaboration of tactics that promote an increase in turn taking, following from our discussion of the evolution of similar behaviors in rodents. Further, only those species able to *benefit* from such increased socio-cognitive skills as adults should show this increase. The beauty of this framing is that the prediction forces us to look beyond superficial differences in what may constitute play complexity and to focus only on those complexities that are essential to facilitating improvements in socio-cognitive skills (Cordoni and Palagi 2011). This is an important distinction that is often muddled in the current literature. There may be many ways in which different aspects of play become more or less complex in different species. For example, the great apes can clearly engage in very complex actions during play, and do so with greater frequency than many other primates (e.g., lowland gorillas, Palagi et al. 2007; bonobos, Palagi and Paoli 2007; chimpanzees, Palagi and Paoli

2007). As an illustration, some species of Old World monkeys have been reported to close their eyes when engaging in both locomotor and social play, increasing the riskiness of the behavior and the cognitive demands on the actions (e.g., Kavanagh 1978). However, direct comparisons between Japanese macaques and orangutans have shown that while both species engage in such eye closing during play, orangutans do it much more frequently (Russon and Vasey 2012). The clade encompassing the great apes has an expanded frontal cortex that is associated with greater socio-cognitive skills (e.g., Semendeferi et al. 2001). Thus, in this case, phylogeny of ape species may be most influential in accounting for these species differences.

Models such as ours, because they require more precisely defined assumptions (Smaldino 2017), can be instrumental in drawing attention to specific features of the phenomena in question and so stimulate more focused empirical tests of the presumed relevant relationships. If a comparative test like the one described above were to reveal a steep curve in the incidence of turn-taking tactics at around the point when the benefits of increased socio-cognitive skills reach the relevant cost-benefit threshold, it would lay the foundation for extending the model and empirical testing a step further.

Retention of play into adulthood

Some species retain various forms of play into adulthood (Palagi 2011; Palagi, Burghardt, et al. 2016), with social play being the most intensively studied (Pellis 2002). Detailed studies of adult–adult playful interactions in several species suggest that individuals use play as a tool to solve a variety of social problems, including negotiating dominance relationships, maintaining friendships, de-escalating social tension, and fostering cooperation (Pellis et al. 1993; Palagi 2006; Antonacci et al. 2010; Norscia and Palagi 2011; Ciani et al. 2012). While many ecological and social factors may contribute to the retention of such play in adulthood (O’Meara et al. 2015), a key feature that is strongly correlated with its retention is a social system based on fluid relationships which introduce uncertainty among the groupmates (Pellis and Iwaniuk 1999, 2000a). This correlation has been reinforced by many detailed studies (Palagi 2011; Palagi, Burghardt, et al. 2016; Scopa and Palagi 2016). Critically, studies of juvenile play in those species that engage in adult play have shown, relative to members of the same genus that do not engage in adult play, that juveniles increase the occurrence of turn-taking and other actions likely to involve greater socio-cognitive skills and emotional regulation (e.g., Petit et al. 2008; Reinhart et al. 2010; Palagi et al. 2019). This suggests that for some animals, their social systems demand more refined socio-cognitive skills to be successfully navigated, and these skills are achieved by modifying the content of play in the juvenile period. In social groups with relationships codified according to rank rules, formal dominance displays are sufficient to maintain social homeostasis. In species with more subtly nuanced relationships, however, the retention of play in adulthood may provide a tool for greater degrees of social assessment and manipulation than is afforded by simpler dominance displays (Pellis and Pellis 2009). Indeed, the steepness of the dominance hierarchy curve—a measure of hierarchy similar to a Gini curve, in which steeper curves indicate more unequal distributions of power—is lower in species that play as adults, indicating greater ambiguity in social relationships (Ciani et al. 2012; Palagi 2015; Cordoni and Palagi 2016; Palagi and Demuru 2017).

The present model could be extended, so that what is here relegated as “simple play” could be relabeled as “juvenile play only”

